

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

Format of Holding of Specified Securities

For the Quarter ended 30th September , 2017

ANNEXURE - I

1	Name of the Listed Entity	Emkay Global Financial Services Limited
2	Scrip Code/Name of the Scripe/Class of Security	532737/ EMKAY/ Equity Shares
3	Share Holding Pattern Filed under: Reg. 31(1) (a)/Reg.31(1) (b) /Reg.31(1) (c)	for the Quarter ended on 30th September, 2017
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

PARTICULAR

1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed entity has issued any convertible Securities or Warrant?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No

5 The Tabular Format for disclosure of holding of specified securities is as follows:-



Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9199

Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel : +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L67120MH1995PLC084899

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 30th September, 2017

Table I --Summary Statement Holding of Specified Securities--EQUITY SHARES

Category of Shareholder	Nos of Shareholders	No of fully paid up equity shares held	No of partly paid up equity shares held	No. of shares underlying depositary receipts	Total nos. shares held	Shareholding as a % of total no of shares (per SCRR, 1957 as a % of (A+B+C2))	Number of voting rights held in each class of securities -Equity Shares IX				Total as a % of (A+B+C)	No of shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of dilutes share capital)	Number of locked in shares XII		Number of shares pledged or otherwise encumbered XIII		Number of equity shares held in dematerialized form
							Class SEG X	Class Seg Y	Total	No				As a % of total shares held	No	As a % of total shares		
II	III	IV	V	VI	VII	VIII	No of Voting Rights				X	XI	No	As a % of total shares held	No	As a % of total shares	XIV	
Promoter & Promoter Group		7	17652500	0	0	17652500	17652500	0	17652500	71.9957	0	0	0	0	0	0	0	17652500
Public		8621	6676200	0	0	6676200	6676200		6676200	27.2289	0	0	0	0	0	NA	NA	6661485
Non Promoter- Non Public		1	190130	0	0	190130	190130	0	190130	0.7754	0	0	0	0	0	N.A	N.A.	190130
Shares Underlying DRs		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
Share held by Employee Trusts		1	190130	0	0	190130	190130	0	190130	0.7754	0	0	0	0	0	0	0	190130
		8629	24518830	0	0	24518830	24518830		24518830	100.00	0	0	0.0000	0	0	0	0	24504115

Emkay

Your success is our success



EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 30th September, 2017

Table-II- Statement Showing Shareholding Pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	partly Paid up Equity Shares held	No. of shares underlying deposit ory receipts	Total nos. shares held	Shareholdin g % calculates as per SCRR,1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities -Equity Shares IX			No fo shares underl ying outsta nding conver sion of tible securiti es (Includ lies (as a % of Maazaa dilution	Shareh olding as a % assumi ng full conver sion of tible securiti es (Includ lies (as a % of Maazaa dilution	Number of locked in shares XII	Number of shares pledged or otherwise encumbered XIII	Number of equity shares held in dematerializ ed form	
								No of Voting Rights								Total as a % of (A+B+C)
								class X	class Y	Total						
I	II	III	IV	V	VI	VII	VIII	No of Voting Rights			X	XI	No	As a % of total shares	As a % of total shares held	XIV
								class X	class Y	Total						
1 Indian																
a Individuals/ Hindu Undivided Family		6	13502500			13502500	55.0699	13502500		55.0699			0	0	0	13502500
Krishna Kumar Karwa	AACP9149E	1	4922500			4922500	20.0764	4922500		20.0764	0		0	0	0	4922500
Prakash Kacholia	AADPK7247C	1	4750000			4750000	19.3729	4750000		19.3729	0		0	0	0	4750000
Preeti Kacholia	AADPK7245A	1	1880000			1880000	7.6676	1880000		7.6676	0		0	0	0	1880000
Raunak Karwa	ACVPK2652Q	1	1750000			1750000	7.1374	1750000		7.1374	0		0	0	0	1750000
Krishna Kumar Karwa (HUF)	AACHK2851D	1	100000			100000	0.4078	100000		0.4078	0		0	0	0	100000
Murlidhar karwa (HUF)	AABHM6186L	1	100000			100000	0.4078	100000		0.4078	0		0	0	0	100000
b Central Gov/State Gov		0	0	0		0	0.0000	0		0.0000	0		0	0	0	0
c Financial Institution/Banks		0	0	0		0	0.0000	0		0.0000	0		0	0	0	0
d Any Other		1	4150000			4150000	16.9258	4150000		16.9258			0	0	0	4150000
Body Corporate																
Emkay Corporate Services P. Ltd	AAACE0995M		4150000			4150000	16.9258	4150000		16.9258	0		0	0	0	4150000
sub total (A)-(1)		7	17652500	0	0	17652500	71.9957	17652500		71.9957	0	0	0	0	0	17652500
2 Foreign		0	0	0	0	0	0.0000	0		0.0000	0	0	0	0	0	0

[illegible]

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 30th September, 2017

Table-III- Statement Showing Shareholding Pattern of the Public Shareholder

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	partly Paid up Equity Shares held	No. of shares underlying deposit receipts	Total nos. shares held	Shareholding % calculates as per SCRR,1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities -Equity Shares IX				No to shares underlying outstanding convertible securities (including share warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of dilutes share capital)	Number of shares locked in shares XII		Number of shares pledged or otherwise encumbered XIII		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No	As a % of total shares held	No	As a % of total shares held	
I	II	III	IV	V	VI	VII	VIII	class x	class Y	Total		X	XI					No
Institutions																		
Mutual Fund																		
Venture Capital Fund																		
Alternat Investment Fund																		
Foreign Venture Capital Investor																		
Foreign Portfolio Investors																		
Financial Institution /Bank																		
Insurance Companies																		

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Registered Office: The Ruby 7th Floor, Sagarati Bangla Marg, Bandra (West), Mumbai - 400 028. **Tel:** +91 22 6612 1212 **Fax:** +91 22 6612 1299 **www.enkayglobal.com** **CIN:** L67120



		796	1700016	0	0	1700016	6.93351	1700016		1700016	6.9335	0		0	0	N.A.	N.A.	1690016
Any Others		225	186342	0	0	186342		186342		186342	0.7600			0	0	N.A.	N.A.	186342
Hindu Undivided Family							0.7600											
Non Res. Ind. Non Repat		62	182893	0	0	182893	0.7459	182893		182893	0.7459	0		0	0	N.A.	N.A.	182893
Non Res. Ind. Repat		156	374514	0	0	374514	1.5275	374514		374514	1.5275	0		0	0	N.A.	N.A.	374514
Office Bearers		24	178903	0	0	178903	0.7297	178903		178903	0.7297	0		0	0	N.A.	N.A.	178903
clearing member		158	115913	0	0	115913	0.4728	115913		115913	0.4728	0		0	0	N.A.	N.A.	115913
other bodies corporate		171	661451	0	0	661451	2.6977	661451		661451	2.6977	0		0	0	N.A.	N.A.	651451
sub total (B) (3)		8617	6646498	0	0	6646498	27.1077	6646498		6646498	27.108	0	0	0	0	N.A.	N.A.	6631783
Total Public Shareholding B=(B)(1) + (B) (2) + (B) (3)		8621	6676200	0	0	6676200	27.2289	6676200		6676200	27.229	0		0	0	N.A.	N.A.	6661485

Administrative Office: Paragon Centre, C-06, Ground Floor, Panduram Road, 7th Floor, Sanganal, Panduram Road, Panduram

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EMKAY GLOBAL FINANCIAL SERVICES LIMITED

FOR THE QUARTER ENDED ON 30th September, 2017

Table-IV- Statement Showing Shareholding Pattern of the Non Promoter- Non Public Shareholding

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	Partly Paid up Equity Shares held	No. of shares underlying depositary receipts held	Total nos. shares held	Shareholding % calculates as per SCRR, 1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities -Equity Shares			No of shares underlying outstanding convertible securities	Total Shareholding as a % assuming full conversion of convertible securities	Number of locked in shares		Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
								IX	X	XI			XII	XIII		
I	II	III	IV	V	VI	VII	VIII	No of Voting Rights	class X	class Y	Total	Total as a % of (A+B+C)	No	As a % of total shares held	No	As a % of total shares held
Custodian/DR Holder																
Employee Benefit Trust (under SEBI (Share Based Employee Benefit) Regulations, 2014)																
Emkay Employees Welfare Trust	AAATE3866G	1	190130	0	0	190130	0.7754	190130	190130		190130	0.7754	0	0	0	190130
Total Non Promoter-Non Public Shareholding (C) = (C) (1) + (C) (2)		1	190130	0	0	190130	0.7754	190130	190130	0	190130	0.7754	0	0	0	190130